UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

| SECURITIES AND EXCHANGE |) | |
|------------------------------|-------|---------------------|
| COMMISSION, |) | |
| |) | |
| Plaintiff, |) | Case No. 19-CV-1711 |
| |) | |
| v. |) | Judge John F. Kness |
| |) | |
| RIVER NORTH EQUITY, LLC, et. | al.,) | |
| |) | |
| Defendants. |) | |

JOINT STATUS REPORT

By agreement of the parties, plaintiff Securities and Exchange Commission files this joint status report:

- 1. On March 11, 2021, the Court entered an order staying this case in its entirety "pending resolution of *United States v. David Foley and Bennie Blankenship*, 21 CR 19 [N.D. Ill.]." ("Criminal Case") (Docket No. 116.) The Court also ordered that the parties "submit a joint status report within six months of the date of [the Court's] minute order or upon the resolution of the parallel criminal case, whichever occurs sooner." (*Id.*)
- The Criminal Case is still pending against all criminal defendants. The next status date in the Criminal Case is set for September 13, 2021 at 10:00 a.m.
 (Crim. Dkt. 41.) No trial date has been set.

Date: September 9, 2021

s/Daniel J. Hayes

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s/Lisa L. Foley Lisa L. Foley

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s/Bennie L. Blankenship
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Attorney for Defendant Jeffrey A. Foley

CERTIFICATE OF SERVICE

I hereby certify that on September 9, 2021, I filed this document via the Court's ECF/CM filing system, which will automatically send copies to all counsel of record. I also sent this document to the following parties by email on the same day:

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Matthew Madden, Esq. (matt@mjmaddenlaw.com) 209 S. LaSalle, 7th Floor Chicago, IL 60604 On behalf of Bennie L. Blankenship

| s/Dan Hayes | |
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